# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Charles J. Davidson, Gil M. Vardi, Eric Williams, Amnon In re Application of:

Yadin, Yossi Morik, Eitan Konstantino, Tanhum Feld

**Application No.:** 

10/802036

Filed:

March 17, 2004

For:

Stent with Protruding Branch Portion for Bifurcated Vessels

Docket No.: S63.2H-12021-US01

**Group Art Unit:** 

3731

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

In regard to the above-identified application, in addition to this 2 page transmittal 1. letter, we are submitting the attached:

4 page Third Supplemental Information Disclosure Statement, 2 page List of References Cited, 3 References, and Postcard.

- 2. With respect to fees:
  - ☑ No additional fee is required.
  - ☐ Attached is check(s) in the amount of \$
  - ☑ Charge additional fee to our Deposit Account No. 22-0350.

#### 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the

Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

James M. Urzedowski Registration No.: 48596

Date: December 15, 2006

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop Amendment, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on December 15, 2006.

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#### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed foreign patent and each listed publication other than U.S. patents and U.S. patent application publications is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. patents and U.S. published applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of unpublished U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

37	T This state	ment analisas as a sector I. S. mention Disale and Chatemant and a 27 C.E.D.					
		ment qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.					
	·	se because to the knowledge of the undersigned attorney it is being filed					
(checl	c all that apply	r):					
	(1)	within 3 months of the filing date of the application (other than a CPA); or					
	(2)	within 3 months of entry of the national stage; or					
	<u>X</u> (3)	before the mailing of a first Office Action on the merits;					
	(4)	before the mailing of a first Office Action after the filing of a request for					
		continued examination (RCE) under §1.114;					
	(5)	as part of a continued prosecution application (CPA); or					
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.					
		§1.103(b).					
	II. This state	ement is believed to require a fee or the submission of a certification under					
	37 C.F.R. §1	.97 (c) or otherwise. If this statement is being filed after the latest of: (1)					
	three months	beyond the filing date of a national application (other than CPA); (2) three					
	months beyo	hs beyond the date of entry of the national stage as set forth in §1.491 in an					
	international	tional application; (3) the mailing of a first Office Action on the merits; (4) the					
	mailing of a	first Office Action after the filing of a request for continued examination					
	under §1.114	; or (5) after the filing of a request for a continued prosecution application,					
	but before th	e mailing date of the earlier of a final office action under §1.113, a notice of					
	allowance under §1.311 or an action that otherwise closes prosecution in the application						
	then:						
	(1)	a certification as specified in §1.97(e) is provided below; or					
	(2)	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or					
	( )	included with the payment of other papers filed together with this					
		statement.					
		U 1907 T 114 T 117					

	III. 3/ C.F.R. $\S 1.9/(a)$ . If this statement is being filed after the mailing date of the
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an
	action that otherwise closes prosecution in the application, but before payment of the
	issue fee, then:
	(1) a certification as specified in §1.97(e) is completed below; and
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
	included with payment of other papers filed together with this statement.
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure
	Statement and full payment has not been submitted herewith, regardless of which boxes
	have been checked above, the Commissioner is hereby authorized to charge any
	additional fees associated with this communication to Deposit Account No. 22-0350.
	The Commissioner is hereby authorized to credit any overpayment associated with this
	communication to Deposit Account No. 22-0350.
If par	agraph II.1 or III is checked, also check one of the paragraphs below
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in
	this Information Disclosure Statement was first cited in a communication from a foreign
	patent office in a counterpart foreign application not more than three months prior to the
	date of the filing of this information disclosure statement.
	This communication was not received by any individual designated in §
	1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the
	information disclosure statement was cited in a communication from a foreign patent
	office in a counterpart foreign application, and to the knowledge of the person signing the
	statement after making reasonable inquiry, no item of information contained in the
	information disclosure statement was known to any individual designated in 1.56(c) more
	than three months prior to the filing of the Information Disclosure Statement.

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: December 15, 2006

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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By:

James M. Urzedowski Registration No.: 48596

ATTY DOCKET NO.: S63.2-12021-US01 **APPLICATION NO.: 10/802036** LIST OF PAPENTS AND PUBLIF FOR APPLICANT'S PÉNTS AND PUBLICATIONS APPLICANT: Davidson et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) **GROUP: 3731** FILING DATE: March 17, 2004 REFERENCE DESIGNATION U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS **DOCUMENT NUMBER** DATE **NAME** CLASS/ **FILING DATE** EXAM'S INIT. **SUBCLASS** IF APPROPRIATE 6811566 11/2/04 Penn et al. 623/1.15  $\mathbf{A}\mathbf{A}$ 7018400 3/28/06 Lashinski et al. 623/1.11 AB 7060091 6/13/06 Killion et al. 623/1.15 AC7/17/03 623/1.12 AD 2003/0135259 Simso 2003/0181923 9/25/03 Vardi 606/108 ΑE 9/23/04 AF 2004/0186560 Alt 623/1.35 2004/0225345 11/11/04 Fischell et al. AG 623/1.11 6/2/05 AH 2005/0119731 Brucker et al. 623/1.35 2/16/06 2006/0036315 Yadin et al. 623/1.35 ΑI ΑJ 2006/0079956 4/13/06 Eigler et al. 623/1.35 2006/0173528 8/3/06 Feld et al. 623/1.15 AKAL FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** DATE **COUNTRY** BA BBBC BD BE OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)

CA		
СВ		
сс		11 12 12 12
XAMINER	DATE CONSIDERED	

E

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED) ATTY DOCKET NO.: S63.2-12021-US01 APPLICATION NO.: 10/802036

APPLICANT: Davidson et al.

FILING DATE: March 17, 2004 GROUP: 3731

## UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY

EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA	09/325,996	6/4/99	Vardi et al.	
	DB	09/614,472	7/11/00	Davidson et al.	
	DC	09/663,111	9/15/00	Davidson et al.	
	DD				
	DE				
FYAMINED				DATE CONSIDERED	

EXAMINER DATE CONSIDERED

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US APPLICATIONS
RELATED BY PRIORITY
(COPIES NOT ENCLOSED)

**ATTY DOCKET NO.:** S63.2-12021-US01 **APPLICATION NO.:** 10/802036

APPLICANT: Davidson et al.

**FILING DATE:** March 17, 2004 **GROUP:** 3731

#### US APPLICATIONS RELATED BY PRIORITY

			ATTLICATIONS	RELATED DI	MORIT	
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.